



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Brian J. Wasserman

Examiner:

(to be assigned)

Serial No.:

10/016,779

Group Art Unit:

(to be assigned)

Filed:

December 10, 2001

Docket:

9620

Title:

PARALLEL SELECTION PROCESSING FOR FINANCIAL PROCESSING IN A

RELATIONAL DATABASE MANAGEMENT SYSTEM

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria A 22513 A 450 on October 9, 2003.

By: 7 / 7 / Name: George H. Oates

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

We are transmitting herewith the attached:

Transmittal sheet, in duplicate, containing a Certificate of Mailing under 37 CFR 1.8.

Supplemental Information Disclosure Statement and Form PTO-1449.

☐ Cited References (7).

Return postcard.

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Please charge all fees to Deposit Account No. 50-1673 of NCR Corporation (the assignee of the present application). A duplicate of this paper is enclosed.

GATES & COOPER LLP

Howard Hughes Center 6701 Center Drive West, Suite 1050 Los Angeles, CA 90045 (310) 641-8797

Name: George H. Gates

Reg. No.: 33,500

GHG/mri

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(PTO TRANSMITTAL - GENERAL)

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Name: George H. Cates

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT(37 C.F.R. §1.97(b))

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, **no fee is due** for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to

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establish that the reference(s) are not "prior art". Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please direct any response or inquiry to the below-signed attorney at (310) 641-8797.

Respectfully submitted,

GATES & COOPER LLP Attorneys for Applicant(s)

Howard Hughes Center 6701 Center Drive West, Suite 1050 Los Angeles, California 90045

(310) 641-8797

Bv: 7

George H. Gates

Reg. No.: 33,500

Date: October 9, 2003

GHG/mrj

Enclosures: Form PTO-1449

References cited (7)

Form 1449*	Docket Number: 9620	Application Number: 10/016,779			
INFORMATION DISCLOSURE STATEMENT	Applicant: Brian J. Wasserman				
DIPORMATION DISCLOSURE STATEMENT UNAN APPLICATION	Filing Date: December 10, 2001	Group Art Unit: (to be assigned)			

1 7 500C			U.S. PATENT DOCUMENTS	3			.,
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	US 2002/0026394	02/200	Savage et al.				•
	5,189,608	02/1993	Lyons et al.				
	5,615,109	03/1997	Eder				
	5,655,085	08/1997	Ryan et al.				
	6,360,210	03/2002	Wallman				
	6,393,406	05/2002	Eder				
	DOCUMENT NO.	DATE	FOREIGN PATENTS COUNTRY	CLASS	SUBCLASS	TRANSLA	ATION
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EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.